

PATENT Attorney Docket No. A-71138-1/RFT/RMS/RMK

Dorsey File No. 468488-00214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MAYO et al.

Serial No.:

10/074,679

Filing Date:

February 11, 2002

For:

Method for the Generation of Proteins

with New Enzymatic Function

Examiner:

Borin, Michael L.

Art Unit:

1631

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA

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INFORMATION DISCLOSURE STATEMENT
AND
STATEMENT OF RELATEDNESS

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying substitute for form PTO-1449. Copies of these references are enclosed.

With respect to patent applications, the applicants point out their duty under M.P.E.P. §2001.06(b) to disclose relevant patent applications of which they are aware. To this end, the applicants draw the Examiner's attention to the following patent applications:

Serial No.:

10/074,679

Filing Date:

February 11, 2002

1. U.S.S.N. 09/714,357, filed November 15, 2000;

2. U.S.S.N. 10/666,311, filed September 18, 2003; and

3. U.S.S.N. 10/665,307, filed September 18, 2003.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 468488-00214 (A-71138-1/RFT/RMS/RMK)).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

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Filed under 37 C.F.R. § 1.34(a)

Customer Number: 32940

Attachments:

Form PTO/SB/8A-B, Substitute for Form PTO-1449

92 cited references

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Substitute for form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE.						
Complete if Known						
Application Number	10/074,679					
Filing Date	February 11, 2002					
First Named Inventor	MAYO et al.					
Art Unit	1631					
Examiner Name	Borin, Michael L.					
Attorney Docket Number	A-71138-1/RFT/RMS/RMK					

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,939,666	07-03-1990	Hardman	
	A2	5,241,470	08-31-1993	Lee et al.	
	A3	5,265,030	11-23-1993	Skolnick et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (<i>if</i> <i>known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	B1	WO 95/22625 A1	08-24-1995	Affymax Technologies N.V.		
	B2	WO 98/32845 A1	07-30-1998	Bioinvent International AB		
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	B6	WO 01/59066 A2, A3	08-16-2001	Xencor Inc.		
	B7	WO 03/014325 A2	02-20-2003	Xencor		
	В8					
	B9					

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		NON PATENT LITERATURE DOCUMENTS	_
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Complete if Known Substitute for form 1449A/PTO (Modified) 10/074,679 **Application Number** INFORMATION DISCLOSURE **February 11, 2002** Filing Date MAR 1 5 2004STATEMENT BY APPLICANT MAYO et al. First Named Inventor Art Unit 1631 (use as many sheets as necessary) Borin, Michael L. **Examiner Name** A-71138-1/RFT/RMS/RMK 5 3 Sheet of Attorney Docket Number

·		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
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